

交收指示 Securities Settlement Instruction

客戶名稱 Client Name : _____	賬戶號碼 Account Number : _____ <input type="checkbox"/> 證券帳戶
--------------------------	--

交收類型: Type of Transfer:	<input type="checkbox"/> 提取 Deliver To <input type="checkbox"/> 存入 Received From <input type="checkbox"/> 內部轉移至 Internal Transfer To <input type="checkbox"/> 證券帳戶
(請於合適方格內填上✓號 Please ✓the appropriate box)	
對方經紀名稱: Name of Counterparty CCASS Participant: _____	
對方經紀號碼: ID No. of Counterparty CCASS Participant: _____	
對方聯絡人名稱: Name of Contact Person: _____	
對方聯絡號碼: Contact Number: _____	

交收日期 Settlement Date	股票號碼 Stock Code	股票名稱 Name of Stock	股數 No. of Share (s)	費用(HK\$) Fee (HK\$)

付款指示 Payment Instruction: <input type="checkbox"/> 銀貨兩訖 Delivery versus Payment (DVP) 款項 Amount: HK\$: _____ <input type="checkbox"/> 毋需付款 Free of Payment (FOP)	交收指示目的 Purpose of SI: <input type="checkbox"/> 經紀受託管交收 C = Broker-Custodian <input type="checkbox"/> 投資者賬戶 I = Investor Account <input type="checkbox"/> 股票組合轉移 M = Portfolio Management
---	---

備註 Remarks _____

茲授權貴公司依照本人/吾等作出以上經中央結算系統之交收指示。請於本人上述賬戶扣除有關的交收指示費用。本人/吾等確認股份最終受益人并不會因交收指示而改變。This is to authorize your Company to act in accordance with my/our above settlement instruction through CCASS. Please debit the SI fee from the captioned account. I/We confirm that there is no change of beneficial ownership involved in this transfer.	客戶簽署 Client Signature : _____ X _____ 日期 Date : _____
--	---

僅供職員填寫 For Official Use Only

確認 Confirmed by :	審批 Approved by :	輸入 Inputted by :	核實 Checked by :
_____ 名稱 Name : _____ 部門 Division: _____ 日期 Date : _____ 時間 Time : _____ <input type="checkbox"/> 面見 In person / <input type="checkbox"/> 分機號碼 Ext : _____	_____ 名稱 Name : _____ 部門 Division: _____ 日期 Date : _____	_____ 名稱 Name : _____ 部門 Division: 清算 Settlement 日期 Date : _____	_____ 名稱 Name : _____ 部門 Division: 清算 Settlement 日期 Date : _____