

客戶名稱 Client Name :	賬戶號碼 Account Number : <input type="checkbox"/> 證券帳戶 <input type="checkbox"/> 期貨帳戶
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### 工作詳情 Employment Details

雇主名稱 Name of Employer :	行業 Occupation :
職位 Position:	工作年期 Years of Working:
公司地址 Business Address :	

### 財務資料簡要 Financial Summary



<p>1. 年收入 Annual Income (港幣 HK\$):</p> <p><input type="checkbox"/> &lt; 200,000   <input type="checkbox"/> 200,001 - 500,000   <input type="checkbox"/> 500,001 - 1,000,000   <input type="checkbox"/> 1,000,001 - 5,000,000   <input type="checkbox"/> &gt; 5,000,000</p> <p>2. 物業 Property :</p> <p><input type="checkbox"/> 自置有按揭 Owned with Mortgage   <input type="checkbox"/> 自置無按揭 Owned without Mortgage   <input type="checkbox"/> 租賃 Rented</p> <p><input type="checkbox"/> 宿舍 Dorm   <input type="checkbox"/> 與家人同住 Living with family members</p> <p>3. 資產淨值 Asset Net Worth (港幣 HK\$):</p> <p><input type="checkbox"/> &lt; 500,000   <input type="checkbox"/> 500,001 - 1,000,000   <input type="checkbox"/> 1,000,001 - 5,000,000   <input type="checkbox"/> 5,000,001 - 10,000,000   <input type="checkbox"/> &gt; 10,000,000</p> <p><input type="checkbox"/> 其他 others, 請說明 Please specify: _____</p> <p>4. 持續財富來源 Ongoing Source(s) of Wealth :</p> <p><input type="checkbox"/> 薪金及/或花紅 Salary and/or bonus   <input type="checkbox"/> 儲蓄 Saving   <input type="checkbox"/> 業務收入 Business Income   <input type="checkbox"/> 投資回報 Return on Investment</p> <p><input type="checkbox"/> 退休金 Retirement funds   <input type="checkbox"/> 遺贈或禮物 Inheritance or gift   <input type="checkbox"/> 其他 others, 請說明 Please specify: _____</p> <p>5. 持續資金來源 Ongoing Source(s) of Funds :</p> <p>(a) 資金轉移方式 Means of fund transfer(s)</p> <p><input type="checkbox"/> 支票/銀行本票 Cheque / Bank Draft   <input type="checkbox"/> 電匯 Telegraphic Transfer   <input type="checkbox"/> 本地銀行轉賬 Transfer from Local Bank</p> <p><input type="checkbox"/> 其他 others, 請說明 Please specify: _____</p> <p>(b) 資金來源地 Country of Origin</p> <p><input type="checkbox"/> 香港 Hong Kong   <input type="checkbox"/> 中國 Mainland China   <input type="checkbox"/> 其他 others, 請說明 Please specify: _____</p>
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### 投資經驗及目的 Investment Experiences and Objectives

<p>1. 投資經驗 Investment Experiences and Objectives</p> <p><input type="checkbox"/> 沒有 Nil   <input type="checkbox"/> 股票 Stocks   <input type="checkbox"/> 認股權證 Warrants   <input type="checkbox"/> 期權 Options   <input type="checkbox"/> 期貨 Futures   <input type="checkbox"/> 外匯 Foreign Currency</p> <p><input type="checkbox"/> 貴金屬 Bullion   <input type="checkbox"/> 基金 Funds   <input type="checkbox"/> 其他衍生工具 Other Derivatives</p> <p>2. 投資經驗年資 Years of Experiences</p> <p><input type="checkbox"/> 沒有 Nil   <input type="checkbox"/> 1-3 年 years   <input type="checkbox"/> 3-5 年 years   <input type="checkbox"/> 5-10 年 years   <input type="checkbox"/> 超過十年 Over 10 Years</p> <p>3. 投資目的 Investment Objectives</p> <p><input type="checkbox"/> 保本固息 Dividend Income   <input type="checkbox"/> 資本增值 Capital Gain   <input type="checkbox"/> 投機 Speculation   <input type="checkbox"/> 對沖 Hedging</p>
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預計每月交易情況 Anticipated Level of Transactions per month

<p>1. 預計投資產品 Anticipated Investment Products</p> <p><input type="checkbox"/> 股票 Stocks <input type="checkbox"/> 認股權證 Warrants <input type="checkbox"/> 期權 Options <input type="checkbox"/> 期貨 Futures <input type="checkbox"/> 基金 Funds <input type="checkbox"/> 其他衍生工具 Other Derivatives</p> <p>2. 預計每月交易次數 Anticipated Number of Transactions Per Month</p> <p><input type="checkbox"/> &lt; 20 <input type="checkbox"/> 20 - 50 <input type="checkbox"/> &gt; 50 <input type="checkbox"/> 其他 others, 請說明 Please specify: _____</p> <p>3. 預計每月交易金額 Anticipated Amount of Transactions Per Month (HK\$)</p> <p><input type="checkbox"/> &lt; 500,000 <input type="checkbox"/> 500,000 - 2,000,000 <input type="checkbox"/> 2,000,001 - 5,000,000 <input type="checkbox"/> &gt; 5,000,000</p>
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<p>客戶簽署 Client Signature:</p> <p>X _____  日期 Date : _____</p> <p style="text-align: center;"></p>
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僅供職員填寫 For Internal Use Only

確認 Confirmed by :	審批 Approved by :	輸入 Inputted by :	核實 Checked by :
_____	_____	_____	_____
名稱 Name :	名稱 Name :	名稱 Name :	名稱 Name :
部門 Division:	部門 Division: <b>負責人員 R.O.</b>	部門 Division: <b>客服 C.S.</b>	部門 Division: <b>客服 C.S.</b>
日期 Date :	日期 Date :	日期 Date :	日期 Date :
時間 Time :			
<input type="checkbox"/> 面見 In person / <input type="checkbox"/> 分機號碼 Ext :			