

[Appendix to Client Agreement]

Client Notification of Personal Data (Privacy) Ordinance (the “Ordinance”)

Unless otherwise specified, all the defined terms in this Notification shall have the same meaning as defined in the Client Agreement.

1. The Client shall, from time to time, provide China PA Securities (Hong Kong) Company Limited (“CPAS”) with the updated personal data related to account opening or renewal or any data related to the Services rendered under the Client Agreement.
2. Unless the Client has already provided to above data or for the data that can be obtained from the other member companies of Ping An Group, if the Client fails to provide relevant data, CPAS may be unable to open or renew an account for the Client, render the Services under the Client Agreement, or follow by any laws or guidelines enacted by regulators or other authorities.
3. Where needed for providing the Services to the Clients, personal data gathered by CPAS (whether provided by the Client or any others, and whether provided before or after the Client opens an account) may be used by CPAS for the following purposes:
 - a Carrying out internal control/verification procedures, including procedures under anti-money laundering laws and regulations;
 - b Conducting credit checks and other status checks, and assisting other institutions in conducting such checks;
 - c Continued management of the Client’s account;
 - d Providing the Client with securities trading and relevant services;
 - e Recovering any outstanding debts from the Client and anyone who guarantees the Client’s liabilities;
 - f Marketing services or products in accordance with Paragraph 5 below;
 - g Keeping business records on file;
 - h Following any laws, government or regulatory requirements in Hong Kong or other relevant jurisdictions, including any disclosure or notification requirements; and
 - i Any purposes related to this Notification.
4. The Client’s personal data gathered by CPAS will be kept confidential, but CPAS may provide relevant data (whether provided by the Client or any others, and whether provided before or after the Client opens an account) to the following parties in or outside Hong Kong for the purposes under Paragraph 3 above:
 - a Any member companies of Ping An Group;
 - b Any directors, senior officers, and employees of CPAS or Ping An Group only which have relevant business conducted with CPAS or Ping An Group respectively;

- c Any agent, contractor or third party that provides management, communications, financial calculations, payment or securities settlement, nominee, custody, anti-money laundering or other services to CPAS or any member company of Ping An Group;
 - d Any custodian, registrar or keeper of any single trust or collective investment plan (related to any service provided by CPAS or any member company of Ping An Group to the Client), or any central securities trustee or registrar holding any securities on behalf of the Client;
 - e Any credit reference agency and debt collection company (in case of default);
 - f Any person that accepts any rights and/or obligations relating to the Client's account or any service provided to the Client transferred or distributed, or to be transferred or distributed by CPAS;
 - g Certain companies regarding the marketing services or products listed in the fifth paragraph below; or
 - h Any person to whom CPAS provides relevant information as required by laws, regulations, court orders or any government or regulatory requirements.
5. CPAS intends to use the Client's personal data for direct marketing, provided that CPAS obtains the Client's consent thereon (including no objection). Therefore, please note:
- a The Client's data collected by CPAS may from time to time be used for direct marketing, including name, contact details, age, gender, identification reference documents, marital status, product and other service portfolio information, transaction model and behavior, financial background and demographic research data;
 - b CPAS or any member company of Ping An Group may exchange the financial market information or insurance-related services or products generated by or related to its business activities;
 - c The above services and products may be provided by the following parties: (i) any member company of Ping An Group, (ii) third-party financial institutions, insurance companies, securities and investment service providers, (iii) any business partner that maintains a business relationship or other arrangements with CPAS or any member company of Ping An Group, and (iv) third-party marketing service providers (collectively referred to as "Third Parties"); and
 - d In addition to the above services and products, CPAS plans to provide the Client's personal data above to all or any of the Third Parties for conducting the service and product marketing, provided that CPAS obtains the Client's written consent thereon (including no objection).
 - e CPAS shall not use any of the Client's personal data for the above-mentioned activities for any voluntary use without the Client's consent. In the absence of any "opt out" requirements, CPAS will not oppose the use of the Client's personal data for the above-mentioned voluntary use if the Client has signed the Client Agreement.
 - f If the Client does not want CPAS to use or provide to any person the Client's data for the above-mentioned direct marketing, the Client may notify CPAS of exercising the "opt out" right.

6. The Client has the following rights as per the Ordinance:
 - a To verify or inquire about whether CPAS holds the Client's personal data;
 - b To request viewing any relevant personal data held by CPAS in a reasonable way and in a definite form within a reasonable timeframe;
 - c To request a correction of the Client's inaccurate personal data;
 - d To obtain the reasons for any rejected personal data view or correction request;
 - e To understand policies and practices of CPAS regarding the above-mentioned personal data and learn about the types of personal data held by CPAS;
 - f To learn about the data items that are routinely disclosed to credit reference agencies or debt collection agencies in terms of consumer credit, and to obtain further information to make a request of data view and correction to credit reference agencies or debt collection agencies.
 - g CPAS may charge a reasonable fee for processing any request for data viewing.
7. The Client may request personal data viewing and/or correcting by any of the following means of correspondence, or obtain advice on the policies, practices and types of data held by CPAS, or exercise any of the "opt out" rights:

Customer Services Department
China PA Securities (Hong Kong) Company Limited
Room 3601, 36F, The Center, 99 Queen's Road Central, Hong Kong
Tel: 3762 9688
Fax: 3762 9668
Email: cs.pacshk@pingan.com

8. This Notification shall not restrict the Client's rights under the Ordinance.
9. In case of any ambiguity or inconsistency between the Chinese and English versions of this Notification, the English version shall prevail.